pennsylvania
DEPARTMENT OF REVENUE
Bureau of Corporation Taxes
PO BOX 280407
Harrisburg PA 17128-0407

SHARES TAX & LOANS TAX REPORT
FINANCIAL INSTITUTIONS
2011 REPORT

NAME

ADDRESS

CITY STATE ZIP CODE

☐ Check to send all correspondence to preparer.

CORP TAX ACCOUNT ID

(Department Use Only)
Date Received

FEDERAL ID (EIN)

☐ **Check to indicate a change of address**

☐ First Report (Newly Incorporated) ☐ Amended Report (See instructions.) ☐ EIP/KOZ/KOEZ Credit ☐ Last Report (See instructions.)

ANNUAL PAYMENTS

SHARES TAX	LOAN - TAX YEAR ENDING	DUE DATE
01/01/12	**12/31/11**	**03/15/12**

Fill in corresponding self-assessed tax, prepayments, restricted credit, remittance amount and grand totals.

TAX TYPE	REVENUE USE ONLY		A. Tax Liability from Tax Report	B. Estimated Payments & Credits on Deposit	C. Restricted Credit	Remittance A minus B minus C
	TYPE CODE	BUDGET CODE				
SHARES TAX-NAT'L BANK	**30**	**126103**				
SHARES TAX-STATE BANK	**30**	**126102**				
SHARES-TRUST COMPANIES	**30**	**126101**				
SHARES TAX-TITLE INSURANCE	**30**	**126101**				
LOANS TAX	**40**	**127162**				
GRAND TOTALS						

☐ PLEASE CHECK THIS BLOCK ONLY IF THE TOTAL PAYMENT SHOWN ABOVE HAS BEEN OR WILL BE PAID ELECTRONICALLY.

OVERPAYMENT INSTRUCTIONS (Choose only Option A or Option B and write the appropriate letter in the box provided.)

☐ A = Automatically transfer overpayments to other underpaid taxes for the current tax period, then to the next tax period.

B = Refund overpayment(s) of the current tax period after paying any other underpaid taxes for the current tax period.

By checking the "Amended Report" box on this form, the taxpayer consents to the extension of the assessment period for this tax year to one year from the date of filing of this amended report or three years from the filing of the original report, whichever period last expires. For purposes of this extension, an original report filed before the due date is deemed filed on the due date.

I affirm under penalties prescribed by law that this report (including any accompanying schedules and statements) was examined by me, to the best of my knowledge and belief is a true, correct and complete report and I am authorized to execute this consent to the extension of the assessment period. This declaration is based on all information of which I have any knowledge.

Signature of Officer	Title	Date	Telephone Number ( )

I affirm under penalties prescribed by law, this report (including any accompanying schedules and statements) has been prepared by me and to the best of my knowledge and belief is a true, correct and complete report.

PRINT Individual Preparer or Firm's Name	**Signature** of Preparer	Fax Number ( )
PRINT Individual or Firm's Street Address	Title	Telephone Number ( )
City State ZIP Code	E-mail Address	

ATTACHMENTS DETAILED BELOW MUST BE INCLUDED WITH THIS REPORT:

1. A copy of the Report of Condition or Financial Statement in conformance with generally accepted accounting principles for each quarter of the preceding calendar year. (Title insurance and trust companies: see instructions.)

2. A schedule identifying names and amounts of U.S. obligations for each quarter of the preceding calendar year.

CALCULATION OF SHARES TAX BY TAXPAYER

	1. Taxable amount of total shares (From Line 8, Schedule B) $ _____	
	2. Apportionment proportion (From Page 5, Line 5) . . . . . _____	
	3. Total amount of shares subject to tax (Line 1 x Line 2) . . . . . .$_____	$ _____
	4. Tax (Line 3 x 0.0125); Enter this amount on Page 1, Column A for the appropriate entity. (whole dollars only)	$ _____

CALCULATION OF LOANS TAX BY TAXPAYER

1. Taxable indebtedness from Schedule C, Column 6 total . . . . . $ _____

2. Tax (Line 1 x 0.004) . . . . . $ _____

3. Treasurer's commission* . . . . . $ _____

4. Tax less treasurer's commission (Line 2 less Line 3); Enter this amount on Page 1, Column A. (whole dollars only) . . . . . $ _____

*Treasurer's commission is 5 percent on first $1,000 of tax or fraction thereof; 1 percent on amount of tax over $1,000 but not exceeding $2,000; and 0.5 percent on amount of tax over $2,000.

SCHEDULE A

PART I - CALCULATION OF CURRENT YEAR NET EQUITY

From attached balance sheets

		1ST QUARTER	2ND QUARTER	3RD QUARTER	4TH QUARTER
1.	Total equity – Capital	$	$	$	$
2.	Less: Goodwill (See instructions.)	$	$	$	$
3.	TOTALS (Net equity)	$	$	$	$

PART II - CALCULATION OF CURRENT YEAR NET ASSETS

		1ST QUARTER	2ND QUARTER	3RD QUARTER	4TH QUARTER
4.	Total assets	$	$	$	$
5.	Less: Goodwill (See instructions.)	$	$	$	$
6.	TOTALS (Net assets)	$	$	$	$

PART III - CALCULATION OF CURRENT YEAR VALUE OF NET EQUITY, U.S. OBLIGATIONS AND NET ASSETS

		COLUMN A	**COLUMN B**	**COLUMN C**
	PERIOD	**NET EQUITY (from Line 3)**	**U.S. OBLIGATIONS**	**NET ASSETS (from Line 6)**
7.	1st Quarter	$	$	$
8.	2nd Quarter	$	$	$
9.	3rd Quarter	$	$	$
10.	4th Quarter	$	$	$
11.	TOTALS	$	$	$
12.	AVERAGE VALUE (Divide Line 11 by number of quarters in existence.)	$	$	$

PART IV - CALCULATION OF DEDUCTION FOR U.S. OBLIGATIONS

13. Average value of net equity . . . . . $ _____
    (From Line 12, Column A)
14. Average value of United States obligations . . . . . $ _____
    (From Line 12, Column B)
15. Average value of net assets . . . . . $ _____
    (From Line 12, Column C)
16. Divide Line 14 by Line 15 . . . . . $ _____
    (Carry to six decimal places)
17. Deduction for U.S. obligations . . . . . $ _____
    (Multiply Line 13 by Line 16)

PART V - CALCULATION OF CURRENT YEAR VALUE

18. Value of shares . . . . . $ _____
    (Subtract Line 17 from Line 13.) enter on Line 1, Schedule B

SCHEDULE B

CALCULATION OF TAXABLE AMOUNT OF TOTAL SHARES (Complete Schedule A-1 for each prior year adjusted as a result of Act No. 55 of 2007)

1. Current year value of shares (01/01/2012) . . . . . $ _____
2. One year prior value of shares (01/01/2011) . . . . . $ _____
3. Two years prior value of shares (01/01/2010) . . . . . $ _____
4. Three years prior value of shares (01/01/2009) . . . . . $ _____
5. Four years prior value of shares (01/01/2008) . . . . . $ _____
6. Five years prior value of shares (01/01/2007) . . . . . $ _____
7. Add Lines 1 thru 6 . . . . . $ _____
8. Taxable amount of total shares . . . . . $ _____
   (Line 7 divided by 6 or the number of years in existence if less than six) Enter on Page 2, Calculation of Shares Tax, Line 1.

Identify banks, banks and trust companies, title insurance companies, trust companies and other financial institutions combined with the taxpayer during the last six tax years; the dates combined; and the Pennsylvania corporation Account IDs of the nonsurviving entities. Submit copies of quarterly reports of condition of combined entities disclosing amounts included in current-period-value calculation and a schedule disclosing amounts included in prior periods' value calculations.

NAME	DATE COMBINED	ACCOUNT ID

LOANS TAX - SCHEDULE OF TAXABLE INDEBTEDNESS - SCHEDULE C

1. (FOREIGN CORPORATIONS ONLY) DID THIS CORPORATION HAVE A FISCAL OFFICER RESIDENT IN PENNSYLVANIA? 1. ☐ YES ☐ NO
2. DID THIS CORPORATION HAVE INDEBTEDNESS OUTSTANDING TO INDIVIDUAL RESIDENTS AND/OR PARTNERSHIPS RESIDENT IN PENNSYLVANIA? 2. ☐ YES ☐ NO
3. DID THIS CORPORATION HAVE INDEBTEDNESS OUTSTANDING HELD BY A TRUSTEE, AGENT OR GUARDIAN FOR A RESIDENT INDIVIDUAL TAXABLE IN ITS OWN RIGHT OR BY AN EXECUTOR OR ADMINISTRATOR OF AN ESTATE WHEREIN THE DECEDENT WAS A RESIDENT OF PENNSYLVANIA? 3. ☐ YES ☐ NO

List Outstanding Indebtedness. Attach separate schedule if additional space is needed.

4. AMOUNT OF INTEREST PAID ON THE INDEBTEDNESS IN QUESTION 2 OR 3 DURING THE TAX YEAR REPORTED	5. RATE OF INTEREST APPLICABLE TO THE INDEBTEDNESS IN QUESTION 2 OR 3	6. NOMINAL VALUE OF TAXABLE INDEBTEDNESS (DIVIDE 5 INTO 4)

Total Nominal Value of Taxable Indebtedness (Sum of Column 6)
Enter this figure on Page 2, Calculation of Loans Tax, Line 1.

IF MORE THAN THREE INDEBTEDNESSES EXIST, ATTACH A SCHEDULE SHOWING THE FIGURES AND CALCULATIONS IN 4, 5 AND 6 ABOVE FOR EACH INDEBTEDNESS.

1320011501

CORP TAX ACCOUNT ID
JAN. 1, _____

APPORTIONMENT SCHEDULE **FINANCIAL INSTITUTION SHARES TAX**

TABLES SUPPORTING DETERMINATION OF APPORTIONMENT PERCENTAGE (ROUND TO NEAREST DOLLAR)

THE FOLLOWING INFORMATION MUST BE COMPLETED BY ALL FINANCIAL INSTITUTIONS HAVING INCOME FROM BUSINESS ACTIVITY TAXABLE INSIDE PENNSYLVANIA AND OUTSIDE PENNSYLVANIA.

TABLE 1 - PAYROLL FACTOR	INSIDE PENNSYLVANIA	EVERYWHERE
Wages, salaries, commissions and other compensation to employees.	(A)	(B)

TABLE 2 - RECEIPTS FACTOR	INSIDE PENNSYLVANIA	EVERYWHERE
1. Receipts from loans		
2. Receipts from performance of services		
3. Receipts from lease transactions		
4. Interest and fees from credit card transactions		
5. Interest, dividends and net gains on intangibles		
6. Fees or charges from traveler's checks and money orders		
7. Receipts from sale of tangible property		
8. Receipts from issuance of title insurance		
9. Other receipts		
Total receipts	(A)	(B)

TABLE 3 - DEPOSITS FACTOR	INSIDE PENNSYLVANIA	EVERYWHERE
Attach balance sheet for each quarter.		
1st Quarter		
2nd Quarter		
3rd Quarter		
4th Quarter		
Totals		
Average value	(A)	(B)

TABLE 4 - APPORTIONMENT PROPORTIONS	NUMERATOR AND DENOMINATOR COLUMN		PROPORTION COLUMN
1. (A) Payroll inside Pennsylvania		1.	
(B) Total payroll			
2. (A) Receipts inside Pennsylvania		2.	
(B) Total receipts			
3. (A) Average value Pennsylvania deposits		3.	
(B) Average value total deposits			
4. Total of proportions 1, 2 and 3		4.	
5. Apportionment Factor - Line 4 divided by 3. If only two of the above proportions apply, divide by 2. If only one of the above proportions applies, divide by 1. Carry out six decimal places. Enter this proportion under "Calculation of Shares Tax by Taxpayer" on Page 2, Line 2.		5.	

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